Instruction 1(b).

FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C. 20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  LOTT RONALD M.					2. Issuer Name and Ticker or Trading Symbol SURO CAPITAL CORP. [ SSSS ]										Relationshi heck all app X Direc	licable) tor	ng Per	10% Ov	vner
	RO CAPITA	AL CORP.	Middle)		3. Date of Earliest Transaction (Month/Day/Year) 07/02/2020										Office below	er (give title v)		Other (s below)	specify
ONE SANSOME STREET, SUITE 730  (Street) SAN FRANCISCO  CA 94104					4. If #	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(St	ate) (2	Zip)																
		Table	I - Non-	-Deriva	tive S	Secu	rities	Acq	uired,	Dis	posed of	, or	Ben	efici	ally Own	ed			
1. Title of Security (Instr. 3)  2. Transact Date (Month/Day					Execu y/Year) if any		Deemed cution Date, y nth/Day/Year)				ies Acquired (A Of (D) (Instr. 3			and Securi Benefi Owned	ties cially I Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership	
										v	Amount	(/ I)	A) or O)	Price		ted action(s) 3 and 4)			(Instr. 4)
Common Stock 07/02/2				2020				A <sup>(1)(2)</sup>		5,440		A	\$(	5,	5,540(2)		D		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Dat if any (Month/Day/Yo	n Date,	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable Expiration Date (Month/Day/Year)		te	Amount Securitie Underlyi Derivativ Security 3 and 4)		f g	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership t (Instr. 4)
	Code		v	(A)	(D)	Date Exercisa	able	Expiration Date	Title	Nur	nber ares								

## **Explanation of Responses:**

- $1. \ Restricted \ shares \ is sued \ under \ SuRo \ Capital \ Corp.'s \ (the \ "Company") \ Amended \ and \ Restated \ 2019 \ Equity \ Incentive \ Plan.$
- 2. Such restricted shares shall vest in full on the first anniversary of the award date (with such first anniversary being July 2, 2021) (or, if earlier, the Company's annual meeting of stockholders that is closest to the first anniversary of the award date).

/s/ Ronald M. Lott

07/02/2020

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.