FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

| <b>STATEMENT</b> | OF CHANGES | IN BENEFICIAL | <b>OWNERSHIP</b> |
|------------------|------------|---------------|------------------|

| OIVIB APPI          | RUVAL     |
|---------------------|-----------|
| OMB Number:         | 3235-0287 |
| Estimated average b | ourden    |
| hours per response: | 0.5       |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| T(C). Se   | ee Instruction         | 10.                 |   |  |  |   |                                   |  |                            |          |                         |   |                                 |   |   |  |            |                     |                         |  |
|--|------------------------|---------------------|---|--|--|---|-----------------------------------|--|----------------------------|----------|-------------------------|---|---------------------------------|---|---|--|------------|---------------------|-------------------------|--|
| Name and Address of Reporting Person*      Name and Address of Reporting Person*      Name and Address of Reporting Person*      Name and Address of Reporting Person* |                        |                     |   | 2. Issuer Name <b>and</b> Ticker or Trading Symbol SURO CAPITAL CORP. [ SSSS ] |  |   |                                   |  |                            |          |                         | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |                                 |   |   |  |            |                     |                         |  |
| Klein Mark D   |                        |                     |   |  |  |   |                                   |  |                            |          |                         |   |                                 | Dire  | Director  |  | 10% O      | wner                |                         |  |
| (Last) (First) (Middle)  |                        |                     |   | 3 Dc   |  |   |                                   |  |                            |          |                         |   |                                 | ✓ Office below the control of t | cer (give title   |  | Other (s   | specify             |                         |  |
| (Last)   | ,                      | ,                   | wildule)  |  | 3. Date of Earliest Transaction (Month/Day/Year) 12/16/2024  Chairman, CEO and President |   |                                   |  |                            |          |                         |   | nt                              |   |   |  |            |                     |                         |  |
| C/O SURO CAPITAL CORP.   |                        |                     |   |  |  |   |                                   |  |                            |          |                         |   |                                 | , -   |   |  |            |                     |                         |  |
| 640 FIFTH AVENUE, 12TH FLOOR   |                        |                     |   |  |  |   |                                   |  |                            |          |                         |   |                                 |   |   |  |            |                     |                         |  |
| -  |                        |                     |   |  | 4. If A  | 4. If Amendment, Date of Original Filed (Month/Day/Year)  6. Individual or Joint/Group Filing (Check Applicable Line) |                                   |  |                            |          |                         |   |                                 |   |   |  |            |                     |                         |  |
| (Street)   |                        |                     |   |  |  |   |                                   |  |                            |          |                         |   |                                 |   |   | n filed by On                          | e Ren      | ortina Pers         | nn                      |  |
| NEW YO   | ORK N                  | Y 1                 | 0019  |  |  |   |                                   |  |                            |          |                         |   |                                 |   | Form filed by One Reporting Person  Form filed by More than One Reporting |  |            |                     |                         |  |
|  |                        |                     |   |  |  |   |                                   |  |                            |          |                         |   |                                 |   | Person  |  |            |                     |                         |  |
| (City)   | (5                     | State) (            | Zip)  |  |  |   |                                   |  |                            |          |                         |   |                                 |   |   |  |            |                     |                         |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   |                        |                     |   |  |  |   |                                   |  |                            |          |                         |   |                                 |   |   |  |            |                     |                         |  |
| 1. Title of S  | Security (In           | str. 3)             |   | 2. Transac   | ction  |   |                                   |  |                            |          |                         |   |                                 |   | 6. Ownership  |  | 7. Nature  |                     |                         |  |
| Date (Month/Da   |                        |                     | y/Year) Execution Date,<br>if any<br>(Month/Day/Year) |  | ny .   |   | Transaction<br>Code (Instr.<br>8) |  |                            |          | 3, 4 ar                 | Benef   | ities<br>icially<br>d Following | (D) o   | orm: Direct<br>) or Indirect<br>(Instr. 4)                                | of Indirect<br>Beneficial<br>Ownership |            |                     |                         |  |
|  |                        |                     |   |  | Ė.   | Ī   |                                   |  | (A) or Pric                |          | Reported Transaction(s) |   |                                 |   | (Instr. 4)  |  |            |                     |                         |  |
|  |                        |                     |   |  |  |   |                                   | Code   | V                          | Amount   | (D)                     |   | Price                           |   | 3 and 4)  |  |            |                     |                         |  |
| Common Stock 12/16/2   |                        |                     |   | 2024   |  |   | <b>F</b> <sup>(1)</sup>           |  | 17,017                     | I        | D                       | \$6.0   | 2 1,2                           | 1,232,377(2)  |   | D                                      |            |                     |                         |  |
|  |                        | Та                  | hle II -  | Derivati   | ive Se   | curi  | ties A                            | ∆caui  | ired C                     | )isna    | osed of, o              | or Be   | enef                            | icial   | ly Owne   | rq                                     | •          | <u> </u>            |                         |  |
|  |                        |                     |   |  |  |   |                                   |  |                            |          | onvertib                |   |                                 |   |   | , u                                    |            |                     |                         |  |
| 1. Title of<br>Derivative  | 2.<br>Conversion       | 3. Transaction Date | 3A. Dee   | emed<br>ion Date,  | 4.<br>Transa   | ction   | 5. Nu<br>of                       | ımber  | 6. Date<br>Expirati        |          | isable and<br>te        |   | le and                          |   | 8. Price of<br>Derivative   |  | .          | 10.<br>Ownership    | 11. Nature of Indirect  |  |
|  |                        |                     | if any<br>(Month)                                     | /Day/Year)   | Code (Instr.<br>8)   |   | Derivative<br>Securities          |  |                            |          |                         |   | Securities<br>Underlying        |   | Security<br>(Instr. 5)  | Securities<br>Beneficial               |            | Form:<br>Direct (D) | Beneficial<br>Ownership |  |
| (,   | Derivative<br>Security |                     |   |  | •,   |   | Acquired                          |  | Derivative<br>Security (Ir |          |                         | ´   | ,,                              | Owned<br>Following  |   |  | (Instr. 4) |                     |                         |  |
|  | Security               |                     |   |  |  |   |                                   | (A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4 |                            | 3 and 4) |                         |   |                                 |   |   | Reported                               |            | 1                   |                         |  |
|  |                        |                     |   |  |  |   |                                   |  |                            |          |                         |   |                                 |   |   | Transaction(s)<br>(Instr. 4)           | on(s)      |                     |                         |  |
|  |                        |                     |   |  |  |   | and 5)                            |  |                            |          |                         |   |                                 |   |   |  |            |                     |                         |  |
|  |                        |                     |   |  |  |   |                                   |  |                            |          |                         |   | Am                              | ount  |   |  |            |                     |                         |  |
|  |                        |                     |   |  |  |   |                                   |  | D-4-                       |          | F                       |   | Nur                             | nber  |   |  |            |                     |                         |  |
|  |                        |                     |   |  | Code V   |   | (A) (D)                           |  | Date<br>Exercisable        |          | Expiration<br>Date      | Title Sha   |                                 | res   |   |  |            |                     |                         |  |

## **Explanation of Responses:**

- 1. Shares withheld to satisfy the reporting person's tax obligations in connection with vesting of restricted shares on December 16, 2024. Transaction exempt from Section 16(b) pursuant to Rule 16b-3.
- 2. This total includes (i) 14,659 shares of the Company's common stock owned by Mr. Klein's spouse, which may be deemed to be beneficially owned by Mr. Klein, and (ii) restricted shares granted under the SuRo Capital Corp. Amended and Restated 2019 Equity Incentive Plan on February 9, 2022, December 15, 2023, and December 10, 2024, which are subject to certain vesting schedules.

/s/ Mark D. Klein

12/18/2024

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.